Page 1 of 3 Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. (MJDIFIED) PATENT AND TRADEMARK OFFICE 041673-2045 09/788,188 **APPLICANT** \*NFORMATION DISCLOSURE CITATION Mark Tuszynski OCT 1 5 2001 **GROUP ART UNIT** FILING DATE 1652-1632 (Use see eral sheets if necessary) 02/16/2001 TENT & TRADE **U.S. PATENT DOCUMENTS** FILING DATE DOCUMENT **EXAMINER** SUB-REF DATE NAME **CLASS** INITIAL **NUMBER CLASS APPROPRIATE**  $\zeta_1 \mathcal{N}$ 07/06/1982 **A1** 4,338,397 4,757,013 07/12/1988 A2 5.169.762 12/08/1992 **A3** 5.235.043 08/10/1993 A4 5,364,769 11/15/1994 69. **A5** 5,488,099 01/30/1996 **A6** 5,608,036 03/04/1997 **A7** -30 SVL 6,090,781 07/18/2000 **8A** / 2 **FOREIGN PATENT DOCUMENTS** TRANSLATION DOCUMENT SUB-REF DATE COUNTRY CLASS NUMBER **CLASS** YES NO <u>، 19911175976-A</u> AQ Japan 1993189770-A4 Japan A10 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Berkemeier, et al., "Neurotrophin-5: A Novel Neurotrophic Factor That Activates trk and trkB," SN A11 Neuron, 7:857-866 (1991) § Blesch, et al., "Ex Vivo Gene Therapy for Alzheimer's Disease and Spinal Cord Injury," Clin. Neurosci., A12 3:268-274 (1996) \ Bolivar, et al., "Construction and Characterization of New Cloning Vehicles," Gene, 2:95-113 (1977) A13 Dauber-Osguthorpe, et al., "Conformational analysis of peptide surrogates," Int. J. Pep. Prot. Res., A14 38:357-377 (1991) Ernfors, et al., "Molecular cloning and neurotrophic activities of a protein with structural similarities to nerve growth GV

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First Named Inv nt r	Mark Tuszynski	FEB 2 8 2003
Group Art Unit	1653	
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